



**NATURAL
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The CETAF Best Practice for Taxonomic Collections

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Why do we need to agree Sectoral Best Practices?

- Nagoya Protocol asks for them
- EU Regulation calls for Best Practices to be submitted;
 - noting the importance of Best Practice in supporting Due Diligence and thus compliance checks
- Assist signatories in developing their own policies and processes for compliance
- Build trust in countries of origin
- Facilitate non-commercial research and delivery of benefits
- Facilitate exchange of specimens (loans)



The CETAF process

- Working Group set up (2012)
- Working Group develops document package
- Circulated to membership for comment (September 2013)
- Provided to General Meeting for approval (May 2014)



What documents are needed?

1. Use of Biological Material

- What we do with Biological and Genetic Material
- To support obtaining PIC
- To provide a reference for text in the other documents.

2. Code of Conduct on Access & Benefit-Sharing

- The agreed principles by which we govern our activities

3. Best Practice

- The way in which we implement those principles, including recommendations for policies and processes.

4. Standard Material Transfer Agreement

- Sets out terms under which specimens are transferred from one party to another, in the context of the Code of Conduct and Best Practice.

Use of Biological Material

- **Non-Commercial Research** on systematics, ecology, conservation, genetics, horticulture, morphology, physiology, molecular biology ect. Research may involve sequencing DNA.
- **Publication** of research results
- **Publication** of data and images
- **Loans** for non-commercial research in other research organizations
- **Public display** and propagation
- **Manage access** to Traditional Knowledge associated with Genetic Resources



Code of Conduct

- Honour the letter and spirit of the CBD, NP, CITES and other relevant international agreements and national laws.
- Agree and comply with PIC and MAT
- Supply material to third parties only in compliance with PIC and MAT, and use written MTAs to set out conditions
- Safeguard TK
- Share benefits fairly and equitably
 - Strive to share benefits arising utilization of GR accessed prior to 1993 as far as possible in the same manner as for those acquired thereafter.
- Maintain records:
 - to record PIC and MAT / MTAs
 - to track utilization and benefits
 - To record supply to third parties (loans etc)
- Retain and manage BR/GR unless otherwise stipulated in MAT
- Prepare and adopt policies to implement the Code of Conduct

Best Practice

Covers:

- Policies
- Data management / curation
- Staff training
- Fieldwork
- Utilization
- Utilization by third parties
- Benefit-sharing
- Disposal of collections



Best Practice: Policies

17 policy areas identified, which should ensure:

- institution understands its rights and responsibilities under ABS agreements;
- staff and others abide by appropriate national and international laws and regulations;
- biological resources acquired obtained with appropriate legal certainty;
- biological resources deposited in the repository can legally be retained;
- terms and conditions governing samples are complied with by the repository and third parties using the repository
- renegotiation with country of origin if proposed change in utilization;
- terms and conditions governing biological resources are recorded and can be accessed effectively
- institution can address benefit-sharing issues regarding GR accessed prior to CBD or NP.

Best Practice: Data management / curation

Data management should support:

- Policies
- Document retention
- Tracking and tracing
- Discovery of requirements and restrictions
- Managing delivery of benefits
- Application of unique identifiers
- Recording processes and movements
- Recording core data

Best Practice: Third Party use

- Communication of original MAT etc
- If transfer temporary:
 - Abide by conditions of PIC and MAT
 - Records of transfer
 - No unsanctioned retention by third party
- If permanent:
 - Not if not permitted by original PIC and MAT
 - Only with appropriate MTA
 - Original MAT etc provided with material
 - Records maintained



CETAF package of documents

Draft is on line at:

<http://www.cbd.int/abs/submissions/icnp-3/EU-Taxonomic-practices.pdf>





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